## U.P. Asbestos Ltd.

Mahmoodabad Estate Building, Hazratganj, Lucknow-226001 (India) Phone : (0522) 2622905-6, 2612841, 2200538 CIN : L26942UP1973PLC003743 Website : www.upasbestos.com • email : upasbestos@upasbestos.com

## **U P ASBESTOS LIMITED**

## INVESTOR GRIEVANCE REPORT FOR THE PERIOD 01.04.2017 TO 30.06.2017

SI.	Particulars	Opening	Receipt	Resolved	Pending
1	REQUESTS			and an an an	
а	Change / Correction of address	0	1	1	(
b	Receipt of dividend warrants for revalidation	0	0	0	
c	Correction of name/bank mandate on dividend warrants	0	Ó	0	(
d	Change / Correction of Bank Mandate	0	0	Ò	
е	Receipt of IB for issue of duplicate d/w	0	0	Ö	(
f	Letter of intimation of Bank Mandate	0	0	0	(
g	Registration of Power of Attorney	0	0	0	(
h	Request for ECS facility (Electronic Clearance Services)	0	Ö	0	
	Loss of securities and request for issue of duplicate	0	0	0	0
i	Receipt of IB and Affidavit for issue of duplicate securities	0	0	0	C
k	Request for Stop transfer of securities	0	0	0	(
ł	Receipt of damaged/mutilated dividend warrant	0	0	0	(
m	Request for Consolidation/Split of securities	0	0	0	(
n	Request for issue of duplicate dividend warrant	0	0	0	(
0	Deletion of joint name due to death	0	0	0	(
p	Request for transmission of securities	Ó	Ó	0	(
q	Request for transposition of securities	0	0	0	(
r	Receipt of 15G Form for Exemption of Tax	0	0	0	C
S	Specimen Sign change/not mentioned in AppIn&intimation of the same	0	0	0	(
2	COMPLAINTS				
а	Non receipt of dividend warrants	0	0	0	C
b	Excess / Short payment of dividend	0	0	0	(
С	Non receipt of Annual Report	0	0	0	. (
d	Legal Notice	0	0	Ő	(
е	Correspondence / Query relating to NSDL operations	0	Ö	Ö	(
f	Non receipt of refund order	0	. 0	0	0
q	Correction of name on securities	0	0	0	(
h	Non receipt of securities / Complaint relating to transfer of shares	0	0	Ó	(
1	Non receipt of TDS	0	0	0	C
i	Clarification regarding shares	Ö	0	0	(
3	GENERAL	0	0	0	
à	Query regarding undelivered documents	0	Ő	0	(
b	Postal return documents	0	0	0	(
	TOTAL	Ó	1	1	





