

# U.P. Asbestos Ltd.

Mahmoodabad Estate Building, Hazratganj, Lucknow-226001 (India)  
Phone : (0522) 2622905-6, 2612841, 2200538 CIN : L26942UP1973PLC003743  
Website : www.upasbestos.com • email : upasbestos@upasbestos.com

UPAL/SEC/MSEI/18/

Dated: 16.10.2018

To,  
Metropolitan Stock Exchange of India Limited,  
The Manager- Listing & Compliances  
Vibgyor Towers, 4<sup>th</sup> Floor,  
Plot No. C-62, Opp Trident Hotel,  
Bandra East,  
Mumbai-400098

Symbol: UPAL  
Series: BE  
ISIN CODE: INE181C01016

Sub: Disclosure under Regulation 13 of SEBI (Listing Obligations and Disclosure Requirement) Regulations, 2015.

Dear Sir/Madam,

Kindly find below shareholders' grievance for the quarter ended 30<sup>th</sup> September, 2018 as per Regulation 13 of SEBI (Listing Obligations and Disclosure Requirement) Regulations, 2015.

1	No. of Investor complaints pending at the beginning of quarter (Company & RTA)	NIL
2	No. of Investor complaints received during the quarter (received by RTA & Company)	13
3	No. of Investor complaints disposed off during the quarter (resolved by RTA & Company)	13
4	No. of Investor complaints those remaining unresolved at the end of the quarter (Company & RTA)	NIL

You are requested to kindly take the same on record.

Thanking you

Yours faithfully  
For U.P. Asbestos Limited

  
[Amitabh Tayal]  
Managing Director  
DIN: 00556569



**UP ASBESTOS LIMITED**
**INVESTOR GRIEVANCE REPORT FOR THE PERIOD 01.07.2018 TO 30.09.2018**

Sl.	Particulars	Opening	Receipt	Resolved	Pending
<b>1</b>	<b>REQUESTS</b>				
a	Change / Correction of address	0	1	1	0
b	Receipt of dividend warrants for revalidation	0	0	0	0
c	Correction of name/bank mandate on dividend warrants	0	0	0	0
d	Change / Correction of Bank Mandate	0	0	0	0
e	Receipt of IB for issue of duplicate d/w	0	0	0	0
f	Letter of intimation of Bank Mandate	0	0	0	0
g	Registration of Power of Attorney	0	0	0	0
h	Request for ECS facility (Electronic Clearance Services)	0	0	0	0
l	Loss of securities and request for issue of duplicate	0	4	4	0
j	Receipt of IB and Affidavit for issue of duplicate securities	0	0	0	0
k	Request for Stop transfer of securities	0	0	0	0
l	Receipt of damaged/mutilated dividend warrant	0	0	0	0
m	Request for Consolidation/Split of securities	0	0	0	0
n	Request for issue of duplicate dividend warrant	0	0	0	0
o	Deletion of joint name due to death	0	0	0	0
p	Request for transmission of securities	0	1	1	0
q	Request for transposition of securities	0	0	0	0
r	Receipt of 15G Form for Exemption of Tax	0	0	0	0
s	Specimen Sign change/not mentioned in Appln&intimation of the same	0	0	0	0
<b>2</b>	<b>COMPLAINTS</b>	0	0	0	0
a	Non receipt of dividend warrants	0	1	1	0
b	Excess / Short payment of dividend	0	0	0	0
c	Non receipt of Annual Report	0	1	1	0
d	Legal Notice	0	0	0	0
e	Correspondence / Query relating to NSDL operations	0	0	0	0
f	Non receipt of refund order	0	0	0	0
g	Correction of name on securities	0	0	0	0
h	Non receipt of securities / Complaint relating to transfer of shares	0	0	0	0
l	Non receipt of TDS	0	0	0	0
j	Clarification regarding shares	0	5	5	0
<b>3</b>	<b>GENERAL</b>	0	0	0	0
a	Query regarding undelivered documents	0	0	0	0
b	Postal return documents	0	0	0	0
	<b>TOTAL</b>	0	13	13	0

**For U.P. ASBESTOS LIMITED**
  
**(AMITABH TAYAL)**  
**MANAGING DIRECTOR**
**Karvy Computershare Private Limited**

Corporate office: "Karvy Selenium Tower B", Plot No. 31 & 32, Financial District, Nanakramguda, Gachibowli, Hyderabad- 500 032, Telangana, INDIA. T: +91-40-67162222, 33211000, F: +91-40-23420814, e-mail: support@karvy.com

Registered Office: Karvy House, 46, Avenue 4, Street No.1, Banjara Hills, Hyderabad - 500 034.

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