

UP ASBESTOS LIMITED

INVESTOR GRIEVANCE REPORT FOR THE PERIOD 01.10.2018 TO 31.12.2018

| SI. | Partic | ulars | Opening | Receipt | Resolved | Pending |
|-----|--|---------------------------|---------|---------|----------|---------|
| 1 | REQUESTS | * | | | | |
| а | Change / Correction of address | | 0 | 1 | 1 | 0 |
| b | Receipt of dividend warrants for revalidation | | 0 | 0 | 0 | 0 |
| С | Correction of name/bank mandate on dividend warrants | | 0 | 0 | 0 | 0 |
| d | Change / Correction of Bank Mandate | | 0 | 0 | 0 | 0 |
| е | Receipt of IB for issue of duplicate d/w | | 0 | 0 | 0 | 0 |
| f | Letter of intimation of Bank Mandate | | 0 | 0 | 0 | 0 |
| g | Registration of Power of Attorney | | 0 | 0 | 0 | 0 |
| h | Request for ECS facility (Electronic Clearance Services) | | 0 | 0 | 0 | 0 |
| ı | Loss of securities and request for issue of duplicate | | 0 | 2 | 2 | 0 |
| j | Receipt of IB and Affidavit for issue of duplicate securities | | 0 | 0 | 0 | 0 |
| k | Request for Stop transfer of securities | | 0 | 0 | 0 | 0 |
| ı | Receipt of damaged/mutilated dividend warrant | | 0 | 0 | 0 | 0 |
| m | Request for Consolidation/Split of sec | curities | 0 | 0 | 0 | 0 |
| n | Request for issue of duplicate divider | nd warrant | 0 | 0 | 0 | 0 |
| 0 | Deletion of joint name due to death | | 0 | 6 | 6 | 0 |
| р | Request for transmission of securities | | 0 | 1 | 1 | 0 |
| q | Request for transposition of securities | | 0 | 0 | 0 | 0 |
| r | Receipt of 15G Form for Exemption of Tax | | 0 | 0 | 0 | 0 |
| s | Specimen Sign change/not mentioned in Appln&intimation of the same | | 0 | 0 | 0 | 0 |
| 2 | COMPLAINTS | | 0 | 0 | 0 | 0 |
| а | Non receipt of dividend warrants | | 0 | 0 | 0 | 0 |
| b | Excess / Short payment of dividend | | 0 | 0 | 0 | 0 |
| С | Non receipt of Annual Report | | 0 | 0 | 0 | 0 |
| d | Legal Notice | | . 0 | 0 | 0 | 0 |
| е | Correspondence / Query relating to N | SDL operations | 0 | 0 | 0 | 0 |
| f. | Non receipt of refund order | 7 | 0 | 0 | 0 | 0 |
| g | Correction of name on securities | | 0 | 0 | 0 | 0 |
| h | Non receipt of securities / Complaint relat | ing to transfer of shares | 0 | 1 | 1 | 0 |
| 1 | Non receipt of TDS | | 0 | 0 | 0 | 0 |
| j | Clarification regarding shares | | 0 | 24 | 24 | 0 |
| 3 | GENERAL | | 0 | 0 | 0 | 0 |
| а | Query regarding undelivered docume | nts | 0 | 0 | 0 | 0 |
| _ | Postal return documents | | 0 | 0 | 0 | 0 |
| | TOT | AL | 0 | 35 | 35 | 0 |



Karvy Fintech Private Limited (KFPL) (Formerly known as KCPL Advisory Services Private Limited)